



E UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Gary J. Mullen

Attorney Docket No. GMMD-1-1002

Serial No.: 10/656,245

Group Art Unit:

3762

Filing Date: September 8, 2003

Examiner:

Title:

AN APPARATUS FOR TREATING PNEUMOTHORAX AND/OR

HEMOTHORAX

TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT TO THE COMMISSIONER OF PATENTS:

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information related to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. § 1.56(b).

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

Copies of references listed on the attached Form PTO-1449 are enclosed herewith, along with a return receipt postcard.

Respectfully submitted,

BLACK LOWE & GRAHAM

Mark L. Lorbiecki Registration No. 45,643

Direct Dial: 206.903.1800

BLACK LOWE & GRAHAM PLIC

GMMD-1-1002TL IDS

- 1 -

701 Fifth Avenue, Suite 4800 Seattle, Washington 98104 20K 381 3300 • F. 20K 381 3301

MAIL CERTIFICATE

I hereby certify that this communication is being deposited with the United States Postal Service via first class mail under 37 C.F.R. § 1.08 on the date indicated below addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Michella Funston Carman

25315
CUSTOMER NUMBER

- 2 -

BLACK LOWE & GRAHAM PLLC

701 Fifth Avenue, Suite 4800 Seattle, Washington 98104 206 381 3300 • F. 206 381 3301

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
apperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB
control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known					
Application Number	10/656,245				
Filing Date	September 8, 2003				
First Named Inventor	Gary J. Mullen				
Art Unit	3762				
Examiner Name					
Attorney Docket Number	GMMD-1-1002				

	lite	.,	de²(if know	мм-	ation Date									
	-	.,	3.058	05-		Name of Patentee or Applicant of Cited Document			'``	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				t
1	U3 US-	6,569	5,807 ,121	02- 05-	18-1979 02-1999 27-2003	 Nehme Blake, III Purow et al.								
	i	US2003/ US2002/		,	22-2003 24-2002	 Garabec Scarfon							*	
	US-												***	
	US-													
	US- US- US-					 					· · · · · ·	- *		
	US-					 				** ***				-
	US-			<u> </u>		 								
	US- US-													

FOREIGN PATENT DOCUMENTS										
Examiner Initials	Cite No. 1	Foreign Patent Document Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
		Country Code 3 - 14 uniber - King Code (if known)	WINI-DD-1111	<u> </u>	or Neievant rigores Appear					
	. <u>F1</u> .	EP0853953	07-22-1998	Zumschlinge, Rolf, Dr.	·- · · · · · · · · · · · · ·	ļ_				
	F2	DE 3421170	12-12-1985	B. Braun Melsungen AG						
-	F3	WO 95/18642	07-13-1995	Omirana, Omar		_				
						-				
	-	· · · · · · · · · · · · · · · · · · ·	man area commission of the commission of the com-							
1										
						ŀ				

Examiner Date	
Signature Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English paragraphics is to place a check mark here if English language Translation is attached.